



SHANNON ADVISORS PRIVATE LIMITED

CIN: U74999DL2022PTC399995

SEBI Registered Category 1 Merchant Banker

Reg. No: INM000013174

SHANNON

Elevating Your Business to New Heights!

INVESTOR'S COMPLAINTS DATA

CONSOLIDATED REPORT

Data for the month ending September 2024 -

S. N.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month [#]	Pending complaints > 1 month	Average Resolution time [^] (in days)
1.	Directly from Investors	0	0	0	0	0	0
2.	SEBI (SCORES)	0	0	0	0	0	0
3.	Stock Exchanges (if relevant)	0	0	0	0	0	0
4.	Other Sources (if any)	0	0	0	0	0	0
Grand Total		0	0	0	0	0	0

* Inclusive of complaints of previous months resolved in the current month.

[#] Inclusive of complaints pending as on the last day of the month.

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis) -

S. N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month [#]
1.	May 2024	NA	NA	NA	NA
2.	June 2024	NA	NA	NA	NA
3.	July 2024	0	0	0	0
4.	August 2024	0	0	0	0
5.	September 2024	0	0	0	0
Grand Total		0	0	0	0

* Inclusive of complaints of previous months resolved in the current month.

[#] Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) -

S. N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year*	Pending at the end of the particular year [#]
1.	2020	NA	NA	NA	NA
2.	2021	NA	NA	NA	NA
3.	2022	NA	NA	NA	NA
4.	2023	NA	NA	NA	NA
5.	2024	NA	0	0	NA**
Grand Total		NA	0	0	0

* Inclusive of complaints of previous years resolved in the current year.

[#] Inclusive of complaints pending as on the last day of the year.

** The relevant period has not completed yet.

CATEGORY WISE REPORTING

Data for the month ending September 2024 –

Category 1 - Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS) – For Main Board and SME

S. N.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month [#]	Pending complaints > 1 month	Average Resolution time [^] (in days)
1.	Directly from Investors	0	0	0	0	0	0
2.	SEBI (SCORES)	0	0	0	0	0	0
3.	Stock Exchanges (if relevant)	0	0	0	0	0	0
4.	Other Sources (if any)	0	0	0	0	0	0
Grand Total		0	0	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

[#]Inclusive of complaints pending as on the last day of the month.

[^]Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis) -

S. N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month [#]
1.	May 2024	NA	NA	NA	NA
2.	June 2024	NA	NA	NA	NA
3.	July 2024	0	0	0	0
4.	August 2024	0	0	0	0
5.	September 2024	0	0	0	0
Grand Total		0	0	0	0

* Inclusive of complaints of previous months resolved in the current month.

[#] Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) -

S. N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year*	Pending at the end of the particular year [#]
1.	2020	NA	NA	NA	NA
2.	2021	NA	NA	NA	NA
3.	2022	NA	NA	NA	NA
4.	2023	NA	NA	NA	NA
5.	2024	NA	0	0	NA**
Grand Total		NA	0	0	0

* Inclusive of complaints of previous years resolved in the current year.

[#] Inclusive of complaints pending as on the last day of the year.

** The relevant period has not completed yet.

Category 2 – Rights Issue

Category 3 – Qualified Institutions Placement (QIP)

Category 4 – Preferential Issue

Category 5 – Buy - back of Securities

Category 6 – Delisting of Equity Shares

Category 7 – Substantial Acquisitions of Shares and Takeovers

Category 8 – Public Issue of Debt Securities

Category 9 – Public Issue of Non-Convertible Redeemable Preference Shares (NCRPS)

Category 10 – Private Placement of Debt Securities & Non-Convertible Redeemable Preference Shares (NCRPS)

Category 11 – Public Offer of Units by REITS

Category 12 – Private Placement of Units by REITS

Category 13 – Public Offer of Units by INVITS

Category 14 – Private Placement of Units by INVITS

Category 15 – Private Placement of Municipal Debt Securities

Note: We have not undertaken any activities falling under Categories 2 to 15 as mentioned above. Accordingly, there are no investor complaints to report in respect of these categories.